

2835

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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*[Signature]*  
Signature

Applicant : Mark J. Owens, et al.  
Application No. : 10/028,745  
Filed : December 21, 2001  
Title : WDM ADD/DROP MULTIPLEXER MODULE  
  
Grp./Div. : 2835  
Examiner : Boris Leo Chervinsky  
  
Docket No. : 47630/DBP/C664

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**INFORMATION DISCLOSURE STATEMENT**  
**37 CFR § 1.97(b)**

Commissioner for Patents  
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Pasadena, CA 91109-7068  
June 19, 2003

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM-PTO/SB/08A/B-listing the references that are known to applicant. Copies of each of the listed references are enclosed.

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Application No. 10/028,745

The Commissioner is hereby authorized to charge any fees which may be required by this paper to Deposit Account No. 03-1728. Please show our docket number with any Deposit Account transaction. **A copy of this paper is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By *D. Bruce Prout*  
D. Bruce Prout  
Reg. No. 20,958  
626/795-9900

DBP/dg

Enclosures:

Copy of IDS

PTO/SB/08A/B, w/references

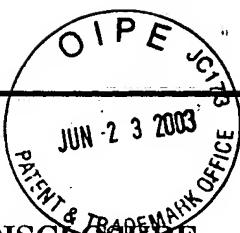
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FORM PTO/SB/08A/B (10-01)  
Substitute for PTO-1449A/B

**INFORMATION DISCLOSURE**

**STATEMENT BY APPLICANT**

(use as many sheets as necessary)



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Group Art Unit	2835
Examiner Name	Boris Leo Chervinsky

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>2</sup> - Number <sup>3</sup> - Kind Code <sup>5</sup> (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup> (✓)
		EP 0 991 217 A2	04-05-2000	Dudley	
		EP 1 061 683 A1	12-20-2000	Adams et al.	
		EP 1 061 684 A1	12-20-2000	Adams et al.	
		EP 1 063 794 A2	12-27-2000	Iwafuji	
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		JP 2001244664 A	09-07-2001	Akihiro et al.	
		WO 00/41349	07-13-2000	Salomaa	
		WO 01/42801 A1	06-14-2001	Yuen et al.	

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**OTHER DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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FORM PTO/SB/08A/B (10-01)  
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## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - kind code <sup>2</sup> (If known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		4,122,508	10-24-1978	Rumbaugh
		4,237,521	12-02-1980	Denker
		4,674,004	06-16-1987	Smith et al.
		4,881,792	11-21-1989	Alameel et al.
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		5,604,665	02-18-1997	Chrysler et al.
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		US 6,212,075 B1	04-03-2001	Habing et al.
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		US 6,477,294 B1	11-05-2002	Jansen Van Doorn et al.

EXAMINER SIGNATURE	DATE CONSIDERED
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